Information Sheet concerning Notice of Arrival/Departure

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Notice:

In the event of any inconsistency or conflict between the German and the English version, the German version shall prevail.

1. Why the new Notification Procedure?

The new forms contain the declaration of the use of the port to be submitted to the HPA, the data collection sheet for inland waterway statistics to Statistikamt-Nord and the written notification for inland vessels to be submitted to the Harbour Master's Division of the HPA.

- ightarrow correct and compiled collection of data that must be provided as required by laws, regulations and general business terms and conditions
- → allows for fast and easy communication between shipmasters and the fees office of the HPA
- \rightarrow can be filled in at and stored on the PC as **one form**; can be sent as e-mail, fax or letter by mail; ship data and other recurring master data can be used for subsequent notifications.
- → The HPA will post online versions and print versions of the forms on its internet pages.

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2. Overview by Ship Type

2.1 Inland cargo vessels

- Standard notice of arrival must be submitted within 24 hours upon arrival
- Interim notice must be submitted once per each calendar month if the vessel is stationed or operates
 exclusively in the Port of Hamburg
- Notice of departure must be submitted within 24 hours upon leaving the Port of Hamburg at the latest
- Special case: combined notice of arrival/departure if the vessel leaves within 24 hours upon arrival

2.2 Inland passenger vessels

- Standard notice of arrival must be submitted within 24 hours upon arrival
- Notice of departure must be submitted within 24 hours upon leaving the Port of Hamburg at the latest
- Special case: combined notice of arrival/departure if the vessels leaves within 24 hours upon arrival

3. When do you have to fill in what Notification Form?

No duty to notify arrivals and departures applies to vessels **in transit**. This is the case if both requirements listed below have been met:

The vessel passes the port area between marker 607.5 and marker 639 without berthing or loading/unloading cargo in the port.

The travelling time of **inland cargo vessels** and other inland vessels that do not carry passengers is less than **5 hours** (300 minutes) and that of **inland passenger vessels** is less than **3 hours** (180 minutes).

A vessel is not deemed in transit if it crosses the same border area when it enters and leaves the port area.

<u>Standard notice of arrival:</u> The inland **cargo** or inland **passenger** vessel calls at the Port of Hamburg with or without cargo / with or without passengers. The vessel crosses a Hamburg state border and commonly uses one or several private or public berths that are operated by the HPA.

Notice of departure for inland **cargo** vessels: The inland cargo vessel leaves the Port of Hamburg with or without cargo, crosses a Hamburg state border and used one or several private or public berths.

<u>Interim notice for inland cargo vessels:</u> The inland cargo vessel exclusively or predominantly uses the Port of Hamburg with or without cargo, used one or several private or public berths and submits the interim notice once per calendar month.

<u>Annex</u>: If the space in the notice of departure / interim notice provided to fill in the cargo loading/unloading data or the berth details is insufficient, Port Users are requested to use the **Annex**. The ship's name is needed to match the Annex with the correct notice of departure.

Notice of departure for inland **passenger** vessels: The inland **passenger** vessel leaves the Port of Hamburg with or without passengers, crosses a Hamburg state border and used one or several private or public berths.

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<u>Combined notice of arrival/departure (= simplified procedure)</u>: The inland **cargo** or inland **passenger** vessel calls at the Port of Hamburg with or without cargo / with or without passengers, crosses a Hamburg state border, used one or several private or public berths, leaves within 24 hours upon arrival and crosses a Hamburg state border.

4. More Information about the individual Text Modules and Examples

<u>Contact details</u>: Providing the telephone number and e-mail address is **optional** however it will make it easier for you, the HPA and Statistikamt-Nord to clarify any issues relating to the arrival and departure notice quickly.

Beneficiary / invoice recipient: The German Value Added Tax Act [UStG] sets forth that apart from the VAT identification number (VAT ID) the beneficiary and the person liable to pay must be stated in as far as the General Terms and Conditions applicable to Civil-Law Agreements on the General Use of the Port of Hamburg (hereinafter referred to as Hafen-AGB) of the HPA require a correct invoice to be issued. If the beneficiary and the invoice recipient are identical, both fields must be filled in. Moreover, the VAT-ID information is required to capture the correct invoice recipient.

Ship type: If your ship type has not been listed below, please enter "other inland vessel".

Ship data: For the ship data please refer to the valid ship's papers issued by the authorities.

<u>Arrival / departure date</u>: In order to meet the requirements laid down in the Hamburg Port Traffic and Navigation Act and the duty to co-operate as defined in the Hafen-AGB, the arrival and departure of a vessel must be notified in writing or electronically within 24 hours after crossing a Hamburg **state border** [Elbe upstream at **marker 607.5** (near the Oortkaten port entrance) as well as **marker 639** (Elbe downstream near the Rissen/Tinsdal power plant)].

Use of berths:

- a) If <u>private</u> berths are used including or excluding the loading/unloading of cargo either a standard notice of arrival and standard notice of departure or a combined notice of arrival/departure must be submitted.
- b) If **public** berths operated by the HPA are used, please enter the date and time the berth and what berth was used. The data are used to determine berth capacity utilisation levels as well as to calculate berth usage fees (public-berth fees).
- c) The difference between "first berth", "public berth" and "cargo berth"
 - 1. The **first berth** is the berth where the vessels docks first after arriving at the Port of Hamburg, whereby it is irrelevant whether it is a public berth or a private berth.
 - 2. **Public** berths are all berths operated by the HPA (you can find all public berths for inland cargo vessels at a glance on the HPA's website)
 - 3. If cargo is loaded and/or unloaded in Hamburg, the **cargo berth** where the loading/unloading takes place must be entered.

<u>Use of the water areas of the port</u>: If the water areas of the Port of Hamburg are used continuously for more than 5 days, port fees and charges (demurrage charges) must be paid.

<u>Port-operating watercrafts</u>: No notice of arrival / notice of departure is required to be submitted for a port-operating watercraft for which a valid watercraft certificate has been issued by the HPA and which is meant exclusively for use in the area of validity of the Hamburg Port Traffic and Navigation Act.

<u>Signature</u>: When transmitting the completed online forms, please use a valid company email address that can be contacted in case of queries.

<u>Goods handling:</u> The term "goods" is the term used in the official standard goods classification for transport statistics according to which goods must be clearly identified, e.g. wheat, rye, wire rod.

• If your vessel called Hamburg "empty", please enter "empty" under type of goods.

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- If your vessel carries empty containers, please enter the number and size [see coded **footnotes*** 10–51] of the empty containers.
- If you have unloaded or loaded several types of goods or intend to call at several ports of unloading, please use the Annex Cargo & Berths for the additional information. The term "port of unloading" refers to the port where the cargo is unloaded at the end of the journey.
- *Footnote-type-of-cargo code for statistical purposes: Please enter the two-digit code for the "type of cargo" here, e.g. "20" for unpackaged dry bulk cargo. If the type of cargo is containers, please enter the number of containers and their content next to the pre-defined code, e.g. code "40" for 20-foot container | content: textile products and footwear, in the table. Please use one line for empty containers and a new line for loaded containers.

*Type of cargo:

10 Unpackaged liquid bulk cargo

20 Unpackaged dry bulk cargo

30 Unpackaged or conventionally packaged general cargo

40 20-foot container

41 Container between 20 feet and

40 feet

42 40-foot container

43 Container larger than 40 feet

44 Other large containers

50 Road vehicles, including trailers/swap bodies

51 Swap bodies/exchangeable

containers 99 Others

Example: containerised cargo

15 40-foot containers carrying machinery are loaded on board a vessel in Berlin. In Hamburg these 15 containers are unloaded. Afterwards 10 20-foot containers containing textiles, 4 40-foot containers containing industrial rocks and minerals as well as 8 empty 20-foot containers and 5 empty 40-foot containers are loaded on the same vessel. The containers containing textiles will be unloaded in Wittenberge, the containers containing the industrial rocks and minerals as well as the empty containers will be unloaded in Magdeburg.

Cargo unloaded:

Date	Type of goods	Port of loading Dangerous goods Quantity (t)Type of cargo Number of containers				
Datum	Güterart	Einladehafen	Gefahr- gut	Menge (t)		Anzahl der Ladungsträger (Container Anzahl)
10.03.2015	machinery	Berlin		300	42 🔽	15
					•	
					•	
					~	

Cargo loaded:

Da	ate .	Type of goods	Port of unloading Dangerous goods Quantity (t)Type of cargo Number of containers					
[Datum	Güterart	Ausladehafen	Gefahr- gut	Menge (t)		Anzahl der Ladungsträger (Container Anzahl)	
1	10.03.2015	textiles	Wittenberge		100	40 🔽	10 Container	
1	11.03.2015	industrial rocks and minerals	Magdeburg		80	42	4 Container	
1	11.03.2015	empty	Magdeburg		16	40 🔽	8 Container	
1	11.03.2015	empty	Magdeburg		20	42 🔻	5 Container	

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Example: liquid cargo

Arrival in Hamburg without cargo

Cargo unloaded:

Date	Type of goods	Port of loading	Dangerous goods Quantity (t)Type of cargo Number of contain				
Datum	Güterart	Einladehafen	Gefahr- gut	Menge (t)		Anzahl der Ladungsträger (Container Anzahl)	
10.03.2015	empty				~		
					~		
					~		
					•		

Cargo loaded:

Date	Type of goods	Port of unloading	Dangerous goods Quantity (t)Type of cargo Number of contain				
Datum	Güterart	Ausladehafen	Gefahr-	Menge (t)	_	Anzahl der Ladungsträger	
			gut		*)	(Container Anzahl)	
10.03.2015	djesel fuel	Dresden	\boxtimes	1.300	10 🔻		
					•		
					•		
					•		

Example: dry bulk cargo

Arrival in Hamburg without cargo

Cargo unloaded:

Date	Type of goods	Port of loading	ort of loading Dangerous goods Quantity (t) Type of cargo Number of container				
Datum	Güterart	Einladehafen	Gefahr- gut	Menge (t)		Anzahl der Ladungsträger (Container Anzahl)	
10.03.2015	empty				~		
					~		
					v		
					•		

Cargo loaded:

Date	Type of goods	Port of unloading	Dangero	us goods C	uantity (t)Ty	pe of cargo Number of cor	tainers
Datum	Güterart	Ausladehafen		Menge (t)		Anzahl der Ladungsträger	
			gut		-)	(Container Anzahl)	
10.03.2015	coal	Wedel		1.930	20 🔻		
					~		
					~		
					~		

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5. Legal Bases for the Notice of Arrival/Departure for Inland Vessels

The duty to submit the above notices applies to every arrival at and every departure from the Port of Hamburg. Notices must be submitted within 24 hours upon the arrival and/or departure of a vessel.

The duty to notify is based on

- the German Traffic Statistics Act [VerkStatG] and Federal Statistics Act applicable to Inland Vessels that transport Goods on a Commercial Basis pursuant to which Statistikamt-Nord must be notified [of arrivals and departures]. As provided for in section 26(3) 1st sentence VerkStatG the HPA must 1) inform the persons obligated to provide the information of their duty to provide it for data collection purposes as set forth in section 1 number 1 (collection of data on vessel movements and goods transports by inland vessels for statistical surveys (shipping statistics)); 2) provide them with the data collection documents; 3) offer them to communicate their data on behalf of them to the respective statistical offices of the German states [Länder] in charge and the Federal Statistical Office. The HPA can be discharged from the obligations mentioned above if the respective statistical office in charge of collecting the data has made a special data transmission agreement with the person obligated to submit the notification. The data must be transmitted electronically in as far as the party involved can reasonably be expected to do so (section 26(4) VerkStatG).
- section 7 Port Traffic Regulation [HafenVerkO] pursuant to which the HPA as the authority in charge must be notified in writing of the arrival and departure of inland vessels within 24 hours of entering / leaving the port, stating the:
 - 1. type, name and master of the watercraft,
 - 2. ship identification signal code (call sign)/ draught measurement number and flag,
 - 3. size and draught,
 - 4. cargo,
 - 5. shipping agent,
 - 6. date of arrival and berth.
- the General Terms and Conditions applicable to Civil-Law Agreements on the General Use of the Port of Hamburg (Hafen-AGB) of the HPA.

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Assistance for filling in the German Notice of Arrival/Departure Notice of Arrival/Departure (declaration of the use of the port) for Inland Vessels and Traffic Statistics in the Port of Hamburg 1)

1) Legal bases: German Traffic Statistics Act (VerkStatG), Port Traffic Regulation (HVO) as well as the General Terms and Conditions applicable to Civil-Law Agreements on the General Use of the Port of Hamburg (AGB) of the HPA, Hamburg Port Authority AöR, as amended.

COMBINED NOTICE OF ARRIVAL/DEPARTURE

If the vessel leaves within 24 hours upon arrival

Contact:	Person to contact in Hamburg: 1. Fees office in charge of inland and port shipping				
Shipmaster (first and surname) Telephone number (optional)	ebhs@hpa.hamburg.de +49 40 428 47 - 2582 2. Harbour Master's Division				
E-mail (optional)	Vessel Traffic Service Centre +49 40 428 47 - 3700 3. Statistikamt-Nord [Statistical Office]				
Beneficiary: (correct address)	hafen@statistik-nord.de +49 40 428 31 - 2152				
VAT-ID					
Ship details:					
Ship name					
Type of ship Self-propelled barge Self-propelled tanker barge Cabin ship Container vessel	Ordinary lighter (barge not self-propelled) Tank lighter (tanker barge not self-propelled) Day-excursion vessel Other lighters Other inland vessels				
European Vessel Identification Number (ENI)(or SUK no.)					
Call sign(Ship identification signal code)					
MMSI					
Flag/flag state					
Engine power [kW]					
Load carrying capacity (tonnes)					
Length, width, draught [m]					
Arrival / state border crossing	Departure/ state border crossing				
Date (dd, mm, yy]	Date (dd, mm, yy]				
Time [24-hr format]	Time [24-hr format]				
Was the seaborder crossed? Yes No	Will the seaborder be crossed? Yes No				
One fixed route [Reihenfahrten] (in the month):	First berth in Hamburg:				
A lis	t of the public berths is available at www.hamburg-port-authority.de				
Route / waterways used: Waterways used					
Schleuse Geesthacht Elbe-Seiten-Kanal Schleuse Plau Schleuse Parey Schleuse Parey Schleuse Kob	(Speer-Oder-Wasserstraße) Schleuse Niegripp Schleuse Plötzensee drichsfelde (Wesel-Datteln-Kanal) Unterschleuse (Landwehrkanal)				
Ausschließlich Hamburger Hafen = Port of Hamburg only Schleuse = lock; Wasserstraße = waterway; Küstenkanal = C	oastal Canal				
What was the last port where cargo was loaded/unloaded (inc	el. abroad):				

What is the n	next port that will be called t	o load/unload cargo?						
Did the vesse	Did the vessel carry cargo between the last-called port and the Port of Hamburg?							
Will the vesse	el carry cargo between the	Port of Hamburg and the	e next poi	rt?		Yes	No	
Were goods	unloaded in the Port of Har	mburg? Yes N	o Ca	argo berth	n:			
Were goods	loaded in the Port of Hamb	urg? Yes N	o C	argo berth	n:			
Cargo unloa	nded							
Date 7	Type of goods	Port of loading Dangero	ous goods	Quantity (1	t) Type of ca	rgo Numbe	r of containers	;
Datum	Güterart	Einladehafen	Gefahr- gut	Menge (t)	Ladungsari *)	Anzahl der l (Container /	Ladungsträger Anzahl)	
					~			
					~			
					-			
					-			
Cargo load	led:							
Date	Type of goods	Port of unloading Dange	rous goods	s Quantity	Type of carg	o Number	of containers	
Datum	Güterart	Einladehafen	Gefahr- gut	Menge (t)	Ladungsari *)	Anzahl der l (Container /	Ladungsträger Anzahl)	
					-			
					-			
					-			
					-			
*)Type of cargo:	10 Unpackaged liquid b20 Unpackaged dry bulk30 Unpackaged or convpackaged general cargo40 20-foot container	40 feet cargo 42 40-foot ventionally 43 Contain	container er larger	than 40 fe	t eet 5	railers/swap	nicles, includir bodies dies/exchang	
Upon arrival	Number of passengers: Upon arrival (state border crossing) Total Upon departure (state border crossing) Total							
bei Ankun	ft (Passage Landesgrenze)	bei Abfahrt (Passage	Landesgre	nze)				
	gesamt	gesamt						
Public bert (The drop-do	hs: wn menu does not include	berths for passenger ve		ease man	-	the informa	-	
Name				tsdatum		Ablegedatum	Uhrzeit	
			₹					
			▼					

Invoice recipient:	
Person liable to pay (company):	
Address:	
E-mail:	
(electr. invoice recipient)	
I certify that the information provided above is correct:	
	Date / name / signature & company) No signature is required in the form is transmitted as PDF file or by e-mail.